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Docket No. 292850US0PCT

AP3 Rec'd PCT/PTO 21 JUN 2007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Makoto KIHARA, et al.

SERIAL NO: New US PCT Application Based on PCT/JP04/19069

GAU:

FILED: Herewith

EXAMINER:

FOR: FUNCTIONAL COMPONENT-ENRICHED BARLEY MALT ROOTLETS AND PROCESS FOR PRODUCING
THE SAME

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references cited in the International Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /H.M./

| Form PTO 1449 (Modified) LIST OF REFERENCES CITED BY APPLICANT | | | ATTY DOCKET NO. 292850US0PCT | | SERIAL NO. New US PCT Application Based on PCT/JP04/19069 | | |
|--|----|-----------------|------------------------------------|----------------------------|---|--|----------------------------|
| | | | APPLICANT Makoto KIHARA, et al. | | | | |
| | | | FILING DATE Herewith | | GROUP | | |
| | | | U.S. PATENT DOCUMENTS | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
| AA | | | | | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION | | |
| | | AC 310 14492003 | 4/30/1944 | JP | YES | NO | |
| | | AP 2002 105092 | 4/10/2002 | JP (with English abstract) | | | NO |
| | | AQ 2002 371002 | 12/26/2002 | JP (with English abstract) | | | NO |
| | | AR 09 084540 | 3/31/1997 | JP (with English abstract) | | | NO |
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| | | AT | | | | | |
| | | AU | | | | | |
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| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) | | | | | | | |
| | AW | | | | | | |
| | AX | | | | | | |
| | AY | | | | | | |
| | AZ | | | | | <input type="checkbox"/> Additional References sheet(s) attached | |
| Examiner | | /Hong Mehta/ | | | | Date Considered 05/24/2010 | |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | |

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